



ASST

**S.I.R. REQUEST**  
Navy Case No. 76,736

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jerry H. Ross  
Serial No. 09/839,600  
Filed: April 23, 2001  
For: DIGITAL PREEMPHASIZER FOR  
TRANSMISSION OF PCM CODES

Examiner: Not yet assigned  
Group Art Unit: Not yet assigned  
September 7, 2001

**TRANSMITTAL OF MISSING PARTS**

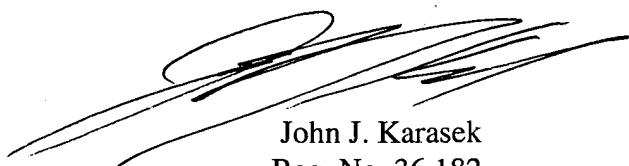
Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application dated June 7, 2001, transmitted herewith is the signed declaration of sole inventor Jerry H. Ross, and the return copy of Form PTO-1533. As indicated on the accompanying Form PTO/SB/17, kindly charge the one month extension fee of \$110.00, the surcharge fee of \$130.00, and the S.I.R. Request fee of \$920.00 to Deposit Account No. 50-0281, and kindly charge any additional fees due, or credit overpayment of fees to Deposit Account No. 50-0281.

10/16/2001 TV0111 00000002 09839600  
03 FC:115 110.00 CH

Respectfully submitted,



John J. Karasek  
Reg. No. 36,182  
Ph. (202) 404-1552



OCT 1 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,600	04/23/2001	Jerry H. Ross	76,736

## CONFIRMATION NO. 1490

## FORMALITIES LETTER

STOCKSTILL, CHARLES J.  
DEPT. OF THE NAVY, OFF. OF GEN. COUN.  
NAVAL RES. LAB., CODE 3008.20  
4555 OVERLOOK AVE., N.W.  
WAHSINGTON, DC 20375



\*OC00000006160143\*

10/16/2001 TV0111 00000001 500281 09839600

Date Mailed: 06/07/2001

01 FC:105 130.00 CH

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/10/2001 SDIRETA1 00000029 500281 09839600

01 FC:112 920.00 CH  
02 FC:115 110.00 CH  
03 FC:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

10/16/2001 TV0111 00000002 500281 09839600

01 FC:101 710.00 CH  
02 FC:104 270.00 CH

*A copy of this notice MUST be returned with the reply.*

Adjustment date: 10/16/2001 TV0111  
09/10/2001 SDIRETA1 00000029 500281 09839600

02 FC:115 110.00 CR  
03 FC:105 130.00 CR

*Shane*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

## PART 1 - ATTORNEY/APPLICANT COPY

Adjustment date: 10/22/2001 TV0111  
10/16/2001 TV0111 00000002 500281 09839600  
01 FC:101 710.00 CR



Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RECTOR  
A

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1160.00)

Complete if Known	
Application Number	09/839,600
Filing Date	April 23, 2001
First Named Inventor	Jerry H. Ross
Examiner Name	not assigned
Group Art Unit	not assigned
Attorney Docket No.	76,736

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-0281
Deposit Account Name	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2.  Payment Enclosed:

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other
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## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath				130.00
127 50 227 25	Surcharge - late provisional filing fee or cover sheet				
139 130 139 130	Non-English specification				
147 2,520 147 2,520	For filing a request for ex parte reexamination				
112 920*	112 920* Requesting publication of SIR prior to Examiner action				920.00
113 1,840*	113 1,840* Requesting publication of SIR after Examiner action				
115 110 215 55	Extension for reply within first month				
116 390 216 195	Extension for reply within second month				
117 890 217 445	Extension for reply within third month				
118 1,390 218 695	Extension for reply within fourth month				
128 1,890 228 945	Extension for reply within fifth month				
119 310 219 155	Notice of Appeal				
120 310 220 155	Filing a brief in support of an appeal				
121 270 221 135	Request for oral hearing				
138 1,510 138 1,510	Petition to institute a public use proceeding				
140 110 240 55	Petition to revive - unavoidable				
141 1,240 241 620	Petition to revive - unintentional				
142 1,240 242 620	Utility issue fee (or reissue)				
143 440 243 220	Design issue fee				
144 600 244 300	Plant issue fee				
122 130 122 130	Petitions to the Commissioner				
123 50 123 50	Petitions related to provisional applications				
126 240 126 240	Submission of Information Disclosure Stmt				
581 40 581 40	Recording each patent assignment per property (times number of properties)				
146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))				
149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))				
179 710 279 355	Request for Continued Examination (RCE)				
169 900 169 900	Request for expedited examination of a design application				
Other fee (specify) _____					
Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 1160.00)	

\*or number previously paid, if greater; For Reissues, see above

F. SUBMITTED BY		Complete if applicable		
Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182	Telephone (202) 404-1552
Signature		Date	7 Sept 01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.